

# Vehicle Propulsion Systems

## Lecture 6

### Modeling and Usage of Batteries

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## Outline

- 1 Introduction
  - Basic Definitions
  - Evolution of Secondary Batteries
  - Some Buzzwords
- 2 The Nickel-Metal Hydride Battery
  - Reactions in the anode and cathode
- 3 Lithium Ion Batteries
  - Future developments
- 4 Monitoring and Control of Batteries
  - What can happen (go wrong)?
- 5 Battery Cell Modeling

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# When I say batteries: What do you think of?

- Consumer products, replaceable.  
 $Zn Mn O_2$  with  $NH_4 Cl$  electrolyte (Brunstensbatteri)  
 → Alkaline Battery  $Zn Mn O_2$  with  $KOH$  electrolyte.  
 AA, AAA, Button cells (CR=Lithium  $LiMnO_2$  3.7V),  
 (SR=Silver Oxide 1.55V), (LR=Alkaline 1.5 V)
- Consumer products, rechargeable.  
 AA, AAA, Formfactors, NiCd (1.25V), NiMH (1.2V),  
 Lilon (4V) (1991).
- Lead Acid Batteries.  
 Automotive Starter Batteries, UPS.
- Packs of cells.  
 Lantern Cell (4.5 V=3x1.5V), Tesla model 3 pack



Batteries can contain poisonous metals, remember to recycle all your electronic devices properly!

## Basic Battery Definitions

### Definition of a Battery

A container consisting of one or more cells, in which chemical energy is converted into electricity and used as a source of power. History is associated with Volta's stack of Zinc and Copper disks separated by paper soaked in salt water.

Benjamin Franklin suggested, in 1748, the term battery for this invention, in the sense of a collection of things used together, like a battery of artillery.

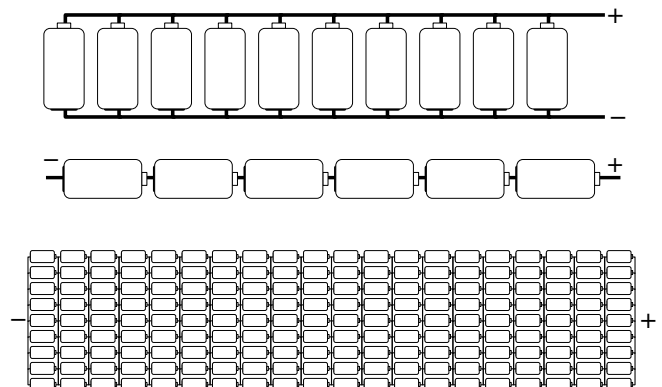
**Cell** - A single Unit

**Block** - A number of cells in Parallel

**String** - A number of cells in Series

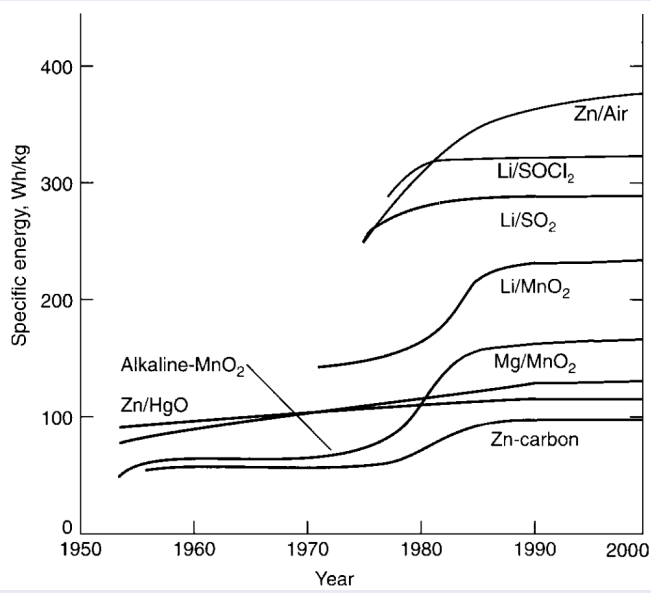
**Pack** - A collection of cells connected in series and/or parallel

**Battery** - A unit with a collection of cells ready for operation, Pack+BMS Battery Management System



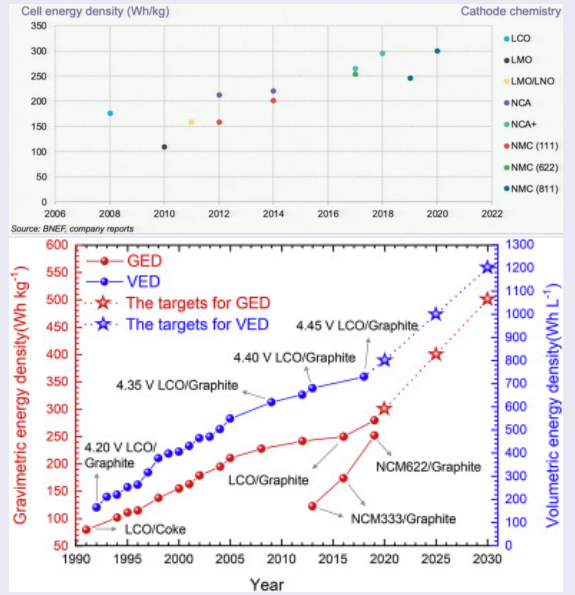
# More Battery Definitions

## Primary Batteries – Use & Discard



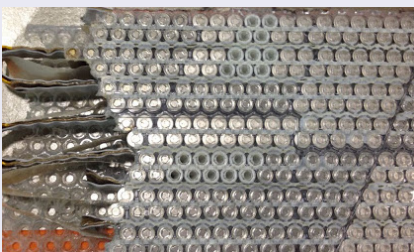
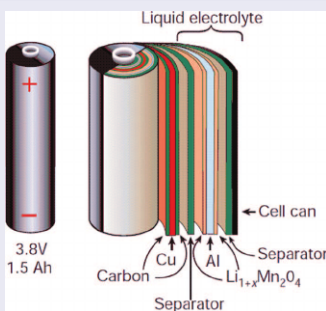
From Handbook of Batteries, Linden ed. (2008)

## Secondary Batteries – Rechargeable



# Cell Form Factors

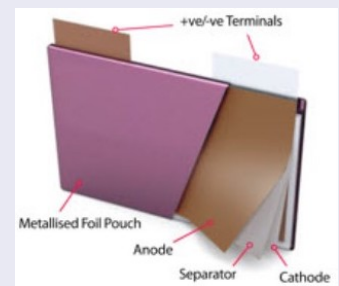
## Cylindric Cell



## Prismatic Cell



## Pouch Cell



The Pouch Cell is sometimes called Lithium Polymer Battery.

# Electro-Chemistry Background – Repetition

**Electrolyte** A substance that produces an **electrically conducting solution** when dissolved in a polar solvent, an example is table salt in water. The dissolved electrolyte separates into **cations (+)** and **anions (-)**, which spreads uniformly in the solvent. Electrically, the solution is neutral.

**Oxidizing reaction** Is when a molecule loses an electron or increases its oxidation state of an atom or ion.

**Reducing reaction** Is when a molecule gains an electron or decreases its oxidation state of an atom or ion.

**Redox reaction** The global reaction where there are transfer of electrons between molecules.

**Anode** The electrode (in batteries) is where the oxidation reaction occurs. Electrons flow out.

**Cathode** The electrode (in batteries) is where the reduction reaction occurs. Electrons flow in.

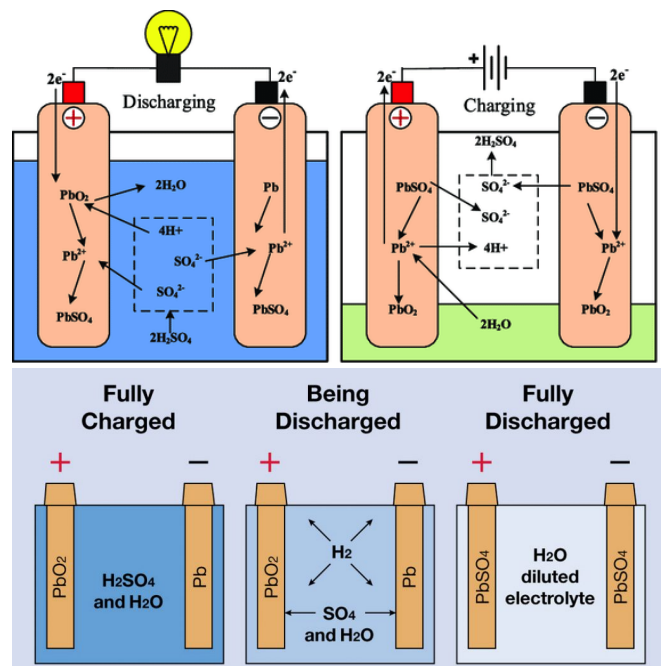
Note that **anode is -** and **cathode is +** during **discharge** of a battery (normal usage). But when **charging** the battery **they switch roles**, so anode is + and cathode is -. In most texts this distinction is not made, instead the anode is always considered to be the - pole and the cathode the + pole.

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## Turn our Attention to Secondary Batteries – Lead Acid as Initial Example

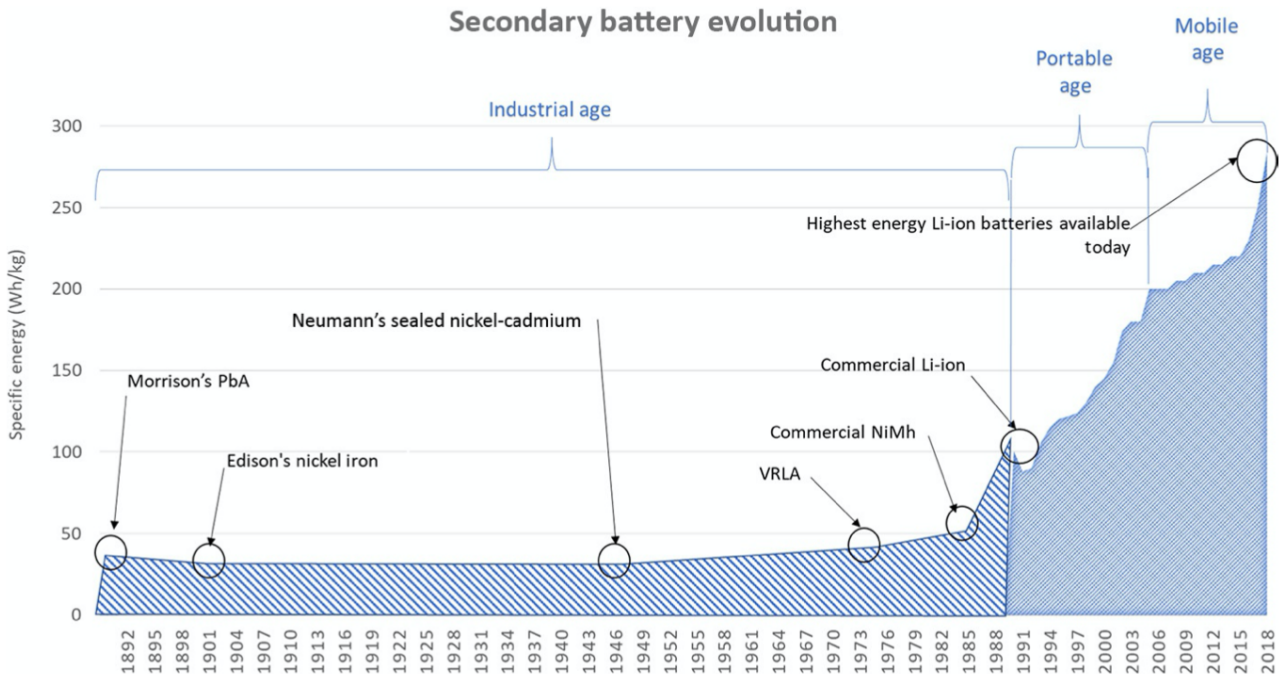
### Elements in a secondary battery

- Electrodes:
  - Positive and
  - Negative
- Active Materials:
  - Positive and
  - Negative
- Cathode and Anode
- Cations and Anions
- Electrolyte
- Ion conducting separator



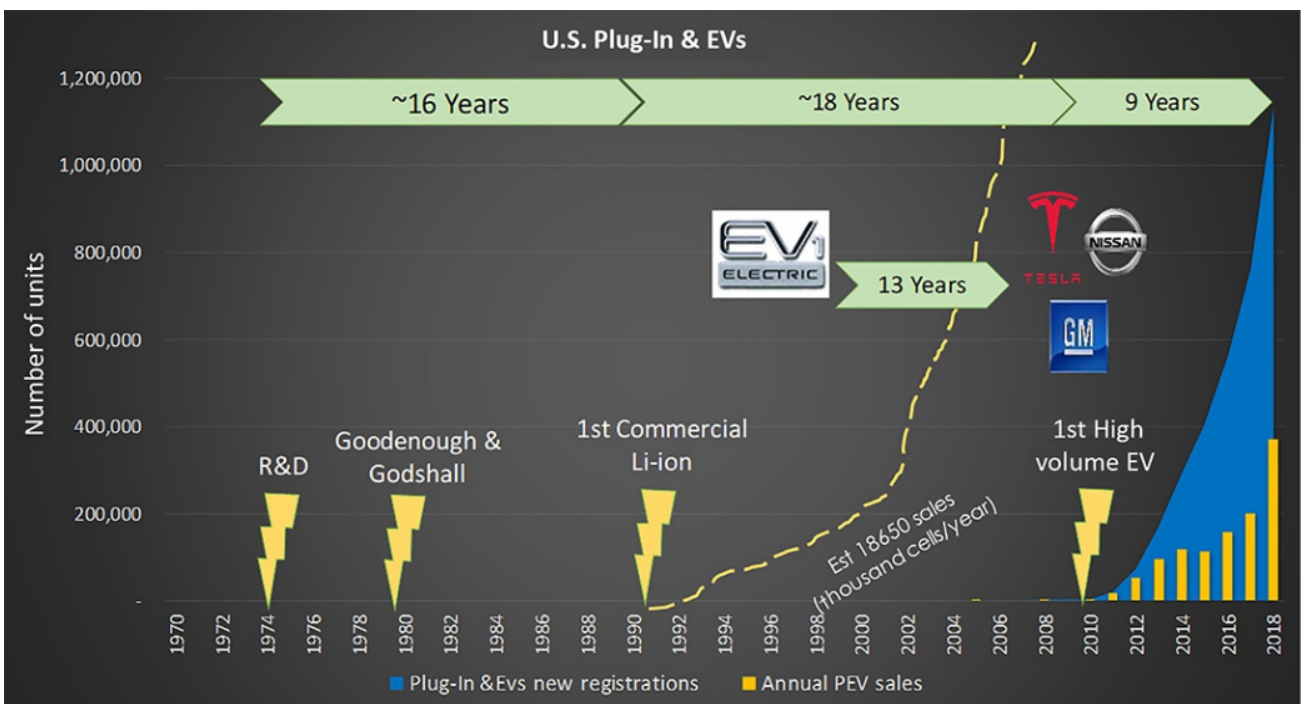
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# Historical evolution of Secondary Batteries



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# Historical Evolution of Lithium Ion Batteries



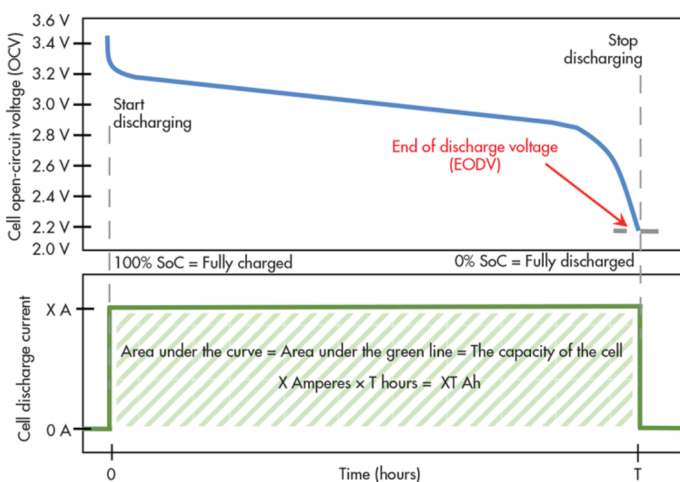
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# Some definitions that are important

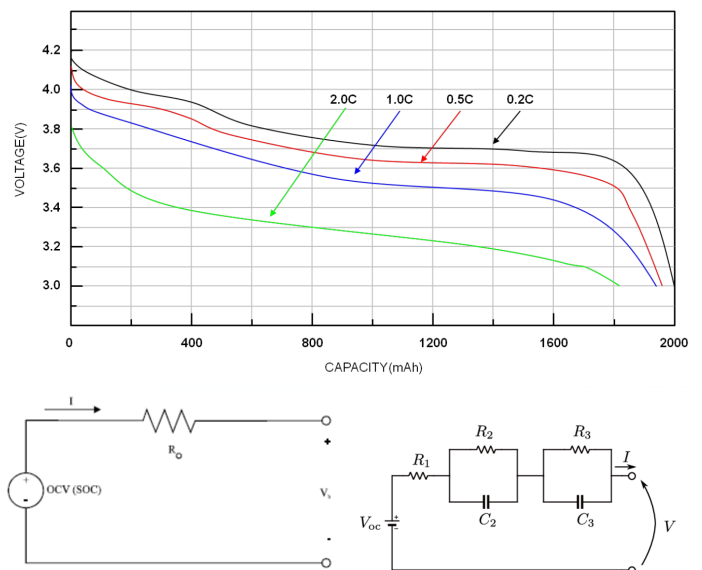
- State of Charge (SOC) [%]
- Open Circuit Voltage (OCV) [V]
- Internal resistance (IR) [mOhm]
- Depth of Discharge (DoD) [%]
- Cut-off voltage [V] (max & min for safe op.)
- C-rate how fast the battery is charged
  - 1C battery is fully charged in 1h
  - 2C battery is fully charged in 0.5h
  - 0.5C battery is fully charged in 2h
- Cycle 1 full discharge and full charge

# Some definitions that are important

## Capacity



## Capacity vs C-Rate



# State of Health (SOH)

- SOH is a figure of merit that reflects the general condition of a battery and its ability to deliver the specified **performance** compared with a **fresh battery**.
- It takes into account factors such as **charge acceptance**, **internal resistance**, **capacity**, **voltage** and **self-discharge**.
- It is a measure of the **long term capability** of the battery and gives an indication of the performance that can be expected from the battery.
- It indicates how much of the **lifetime** of the battery that has been consumed, and how much that **remains**.
- In an application the SOH is estimated by the battery management system (BMS).

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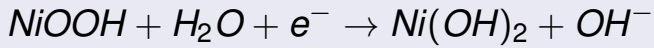
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## Nickel-Metal Hydride – NiMH

### Cathode reaction

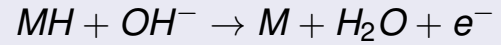
The reaction at the cathode **reduces** nickel oxyhydroxide to nickel hydroxide



The energy release gives the voltage  
 $E_0 = 0.52 \text{ V}$

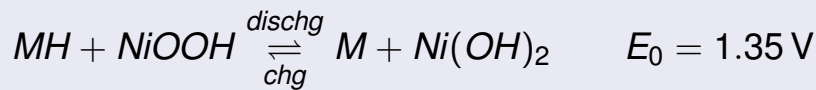
### Anode reaction

The reaction at the anode **oxidizes** metal hydride (MH) to the metal alloy (M).



The energy release gives the voltage  
 $E_0 = 0.83 \text{ V}$

The global redox reaction for the NiMH battery is



The Hydroxyl Ion and Water pass through the electrolyte while the electron released at the anode goes through the electric circuit to the cathode.

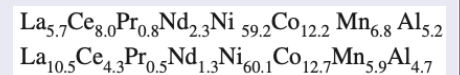
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## Nickel-Metal Hydride – NiMH

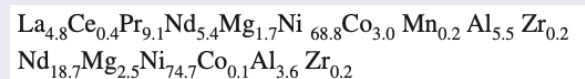
### Active Materials

- The active **cathode** material is Nickel Oxyhydroxide (NiOOH).
- While the active **anode** metal in NiMH-batteries is not a single metal, but an engineered alloy that contains a mixture of many metals.
- There are three basic types of alloys, that in their turn have internal variations.

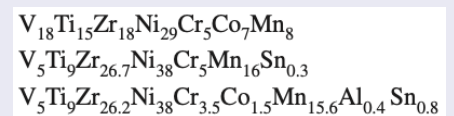
- $AB_5$  (LaCePrNdNiCoMnAl)



- $A_2B_7$  (LaCePrNdMgNiCoMnAlZr)



- $AB_2$  (VTiZrNiCrCoMnAlSn)



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# Nickel-Metal Hydride – NiMH

## Inactive Materials

- The **electrolyte** in NiMH batteries of all types is routinely a mixture of about 30% potassium hydroxide (KOH) in water, providing high conductivity over a wide temperature range.
- It is most common for the electrolyte to have a lithium hydroxide additive at a concentration of about 17 g/L to promote improved charging efficiency at the nickel hydroxide electrode. This suppresses the oxygen evolution, which is the competing reaction to charge acceptance.
- It is also possible to substitute a portion of the KOH with NaOH. Where NaOH promotes high-temperature charging-efficiency, although this electrolyte can decrease cycle life through increased corrosion of the active MH materials.
- The electrolyte in the nickel-metal hydride batteries used in the Toyota Prius Hybrid, use a mixture of potassium hydroxide (KOH) and sodium hydroxide (NaOH).
- The **separator** is termed “permanently wettable polypropylene”. This separator is a composite of polypropylene and polyethylene where the base composite fibers require special surface treatments to make them wettable to the electrolyte.

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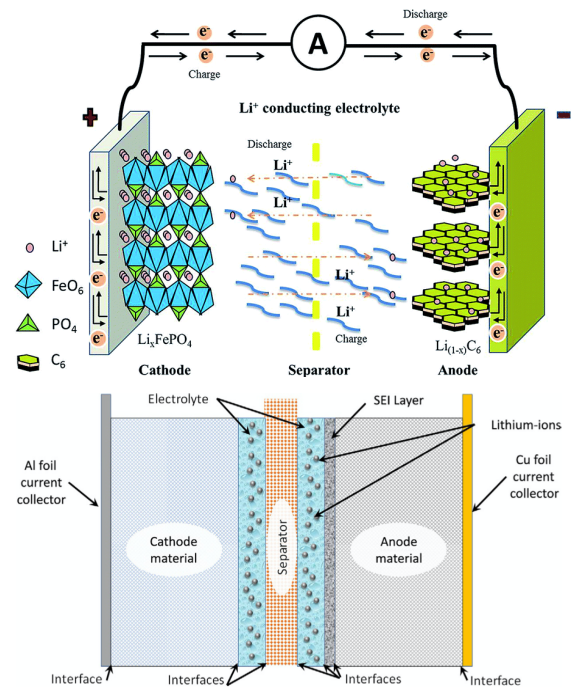
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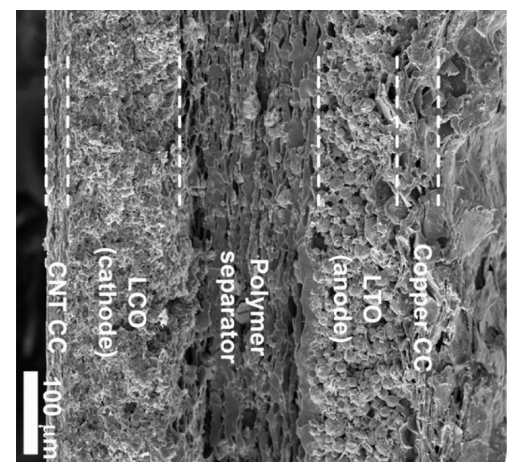
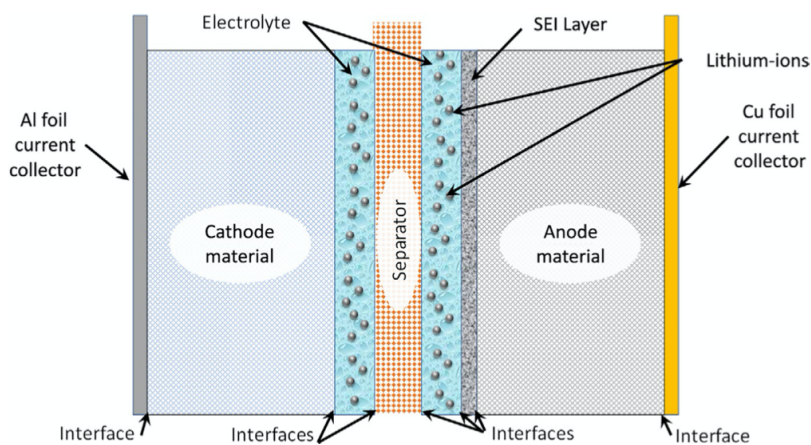
# Lithium Ion Batteries – Components and Operating principles

- Anode and Cathode  
Store Lithium inside their structures
- Current Collectors  
Copper @ Anode,  
Aluminum @ Cathode
- Bonding interface materials  
 $e^-$  conducting glue (Carbonite)
- Electrolyte – Transports  $Li^+$
- Separator  
Keeps cathode and anode isolated  
Porous, electrolyte and ion transport
- SEI – Solid Electrolyte Interphase



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# Lithium Ion Batteries – Components and Operating principles

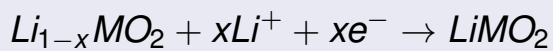


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## Chemical Reactions

### Cathode reaction (+)

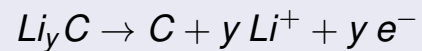
The reaction at the cathode **reduces** the Lithium Ion to Lithium when it enters the Metal oxide



M is a place holder for various Cathode transition metals.  $E_0 \approx 4 \text{ V}$

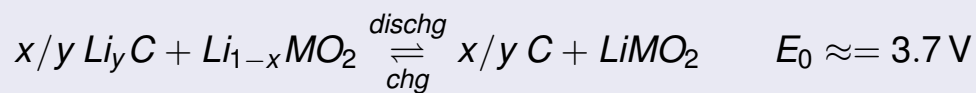
### Anode reaction(-)

The reaction at the anode **oxidizes** the Lithium to Lithium Ion when it leaves the Carbon Structure.



The reaction consumes energy reducing the voltage  $E_0 \approx -0.2 \text{ V}$

The global redox reaction for the Lithium Ion battery is



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## Lithium Ion Batteries

### Key Processes in a Cell – Buzz words

- Intercalation
- SEI - Solid-Electrolyte Interphase
- Dendrite growth
- Swelling during charging

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# Lithium Ion Batteries

There are different options for materials in Lithium Ion Batteries. They are most often referred to using their Cathode material.

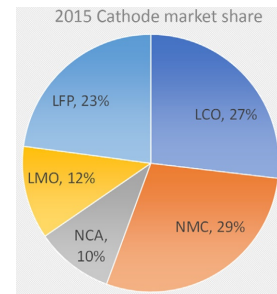
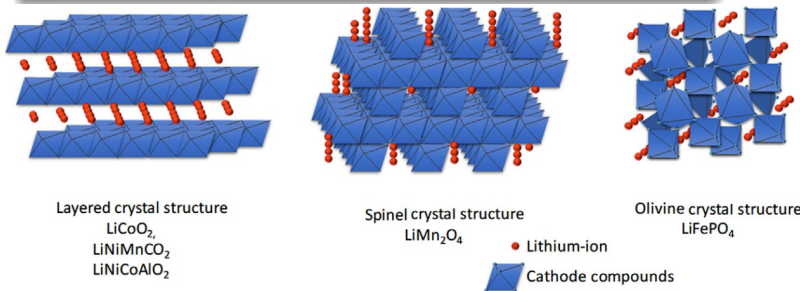
## Cathode materials

lithium iron phosphate (LFP), nickel cobalt manganese (NCM=NMC), lithium cobalt oxide (LCO), lithium manganese oxide (LMO), nickel cobalt aluminum (NCA), and lithium manganese phosphate (LMP)

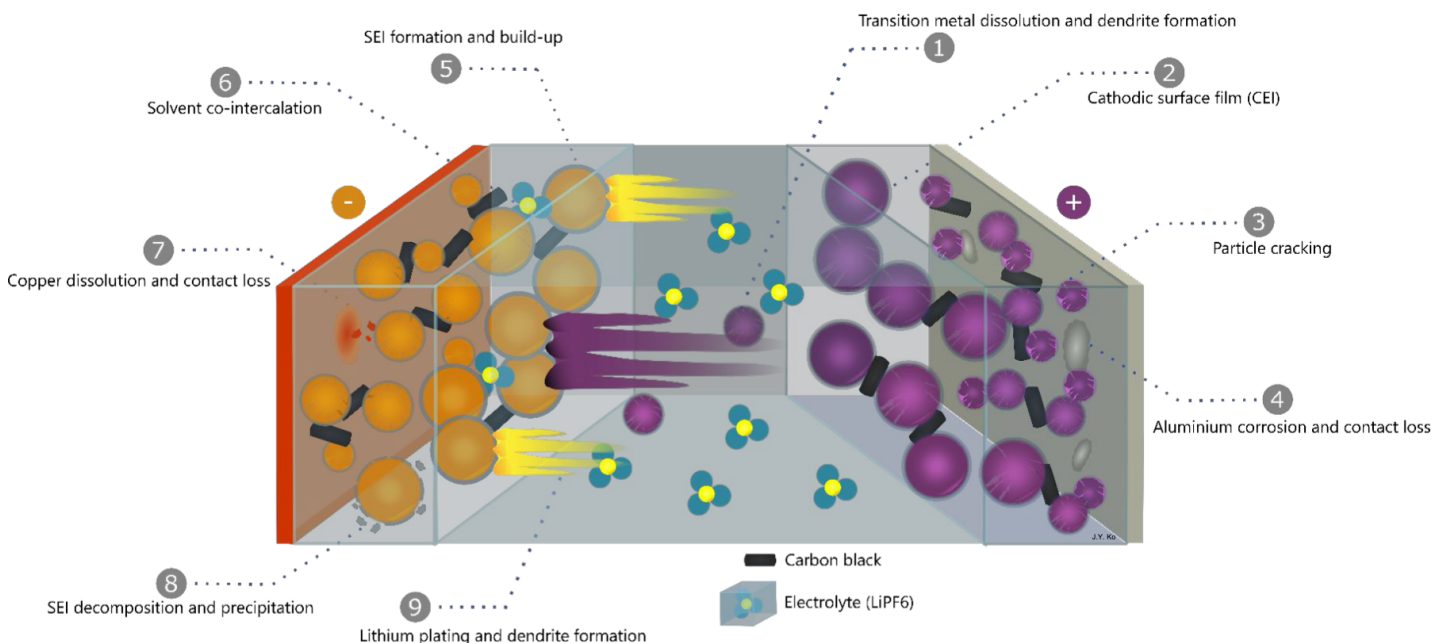
## Anode materials

C - Graphite  
 $Li_4Ti_5O_{12}$  - Lithium Titanate  
 Si - Silicon

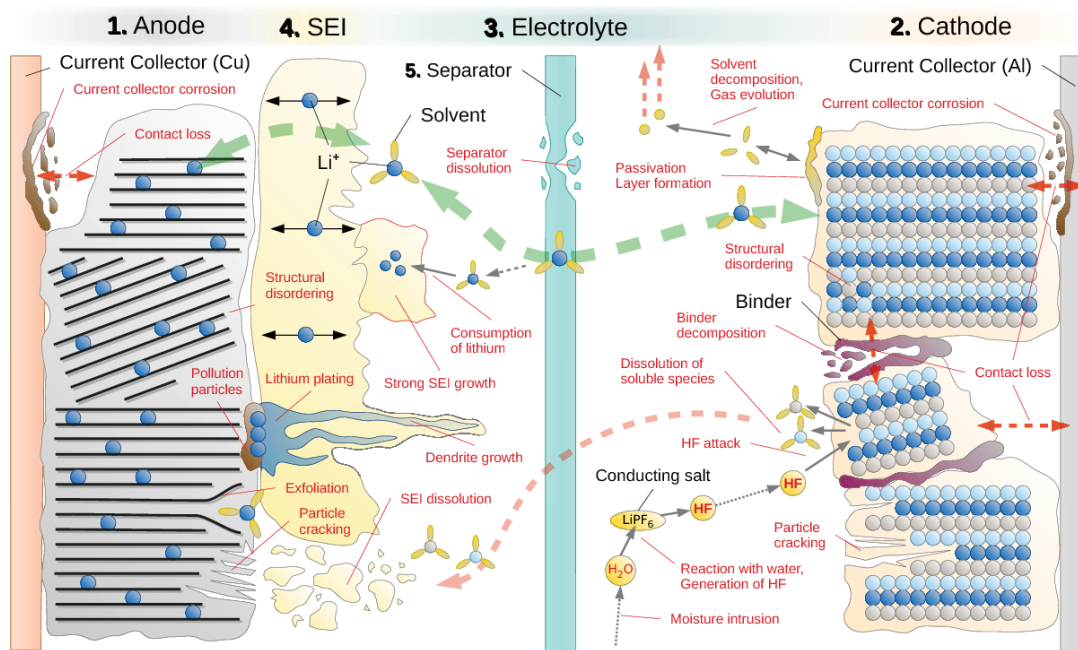
Cathode Market share - 2015



# Lithium Ion Batteries – Aging Mechanisms 1 (2)

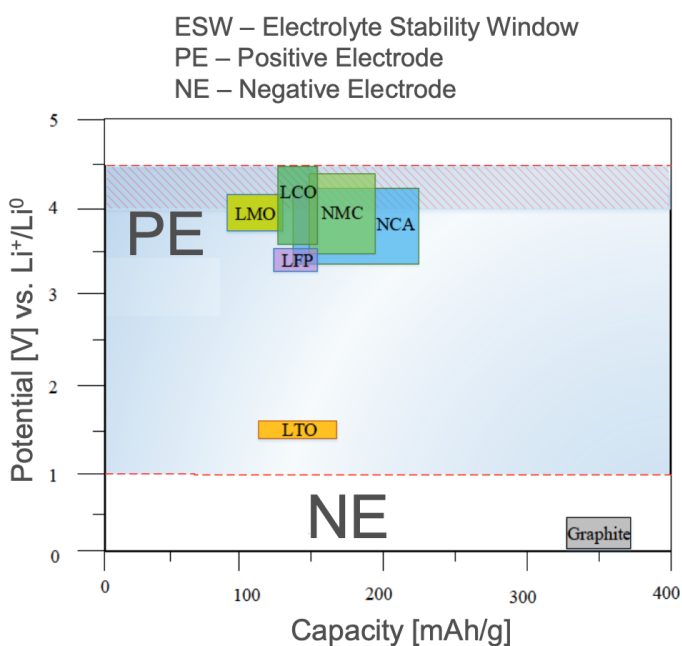


# Lithium Ion Batteries – Aging Mechanisms 2 (2)



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# Lithium Ion Batteries – Cell Voltage and Capacity Comparison



Battery Properties vary with Materials  
 Most variations are in cathode material  
 LTO and Graphite are most common  
 where the latter gives higher voltage.  
 Outside the stability window the  
 Electrolyte will start to oxidize and can  
 become unstable with a high electric field.

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# Why is Lithium Attractive?

Periodic table, lightweight and electronegativity.

## Electronegativity of the Elements

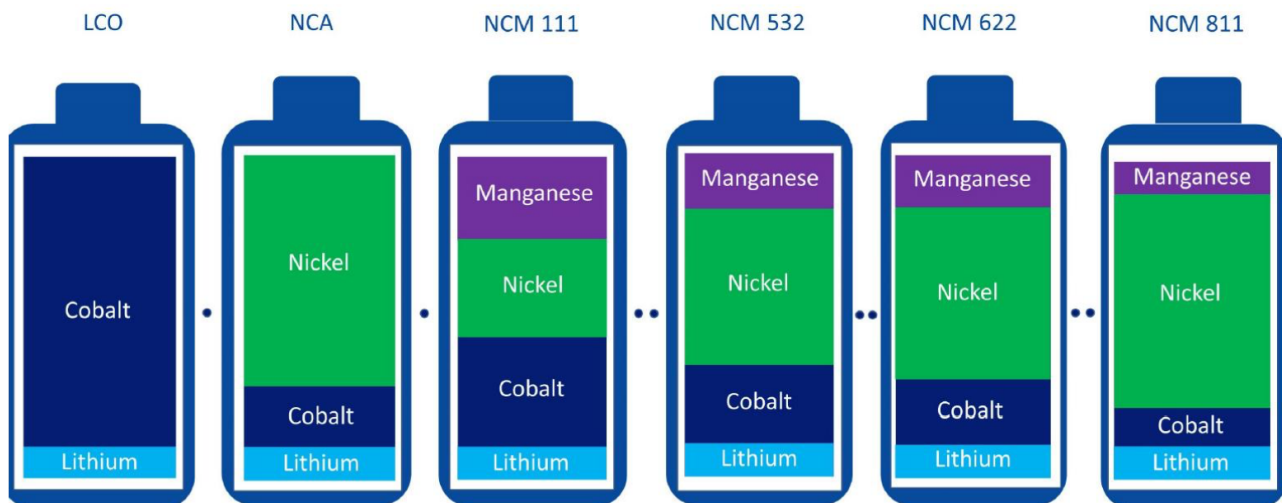
1 H 2.20																	2 He no data
3 Li 0.98	4 Be 1.57											5 B 2.04	6 C 2.55	7 N 3.04	8 O 3.44	9 F 3.98	10 Ne no data
11 Na 0.93	12 Mg 1.31											13 Al 1.61	14 Si 1.90	15 P 2.19	16 S 2.58	17 Cl 3.16	18 Ar no data
19 K 0.82	20 Ca 1.00	21 Sc 1.36	22 Ti 1.54	23 V 1.63	24 Cr 1.66	25 Mn 1.55	26 Fe 1.83	27 Co 1.88	28 Ni 1.91	29 Cu 1.90	30 Zn 1.65	31 Ga 1.81	32 Ge 2.01	33 As 2.18	34 Se 2.55	35 Br 2.96	36 Kr 3.00
37 Rb 0.82	38 Sr 0.95	39 Y 1.22	40 Zr 1.33	41 Nb 1.6	42 Mo 2.16	43 Tc 1.9	44 Ru 2.2	45 Rh 2.28	46 Pd 2.20	47 Ag 1.93	48 Cd 1.69	49 In 1.78	50 Sn 1.96	51 Sb 2.05	52 Te 2.1	53 I 2.66	54 Xe 2.6
55 Cs 0.79	56 Ba 0.89	57-71	72 Hf 1.3	73 Ta 1.5	74 W 2.36	75 Re 1.9	76 Os 2.2	77 Ir 2.2	78 Pt 2.28	79 Au 2.54	80 Hg 2.00	81 Tl 1.62	82 Pb 2.33	83 Bi 2.02	84 Po 2.0	85 At 2.2	86 Rn no data
87 Fr 0.7	88 Ra 0.89	89-103	104 Rf no data	105 Db no data	106 Sg no data	107 Bh no data	108 Hs no data	109 Mt no data	110 Ds no data	111 Rg no data	112 Cn no data	113 Nh no data	114 Fl no data	115 Mc no data	116 Lv no data	117 Ts no data	118 Og no data

Low  High

57 La 1.10	58 Ce 1.12	59 Pr 1.13	60 Nd 1.14	61 Pm 1.13	62 Sm 1.17	63 Eu 1.2	64 Gd 1.2	65 Tb 1.22	66 Dy 1.23	67 Ho 1.24	68 Er 1.24	69 Tm 1.25	70 Yb 1.1	71 Lu 1.27
89 Ac 1.1	90 Th 1.3	91 Pa 1.5	92 U 1.38	93 Np 1.36	94 Pu 1.28	95 Am 1.3	96 Cm 1.3	97 Bk 1.3	98 Cf 1.3	99 Es 1.3	100 Fm 1.3	101 Md 1.3	102 No 1.3	103 Lr no data

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# Future evolution of Li-Ion Batteries



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# Future evolution of Li-Ion Batteries

Cell generation	Cell chemistry specifications	
<b>Generation V</b>	- Li/O <sub>2</sub> (Lithium-air)	>2050??
<b>Generation IV</b>	- All solid-state with metallic lithium anode - Conversion materials (primarily Li-S)	~2025 - 2050
<b>Generation IIIb</b>	- Cathode: HE-NMC, HVS (high voltage spinel) - Anode: Si / carbon	~2020 - 2025
<b>Generation IIIa</b>	- Cathode: NMC 622 to NMC 811 - Anode: carbon (graphite) + 5-10% Si component	~2020 - 2025
<b>Generation IIb</b>	- Cathode: NMC 532 to NMC 622 - Anode: carbon (graphite)	} Current
<b>Generation IIa</b>	- Cathode: NMC 111 - Anode: carbon (graphite)	
<b>Generation I</b>	- Cathode: LCO, LFP, NCA - Anode: carbon (graphite)	

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# Tesla Shanghai Fire

YouTube on the title...

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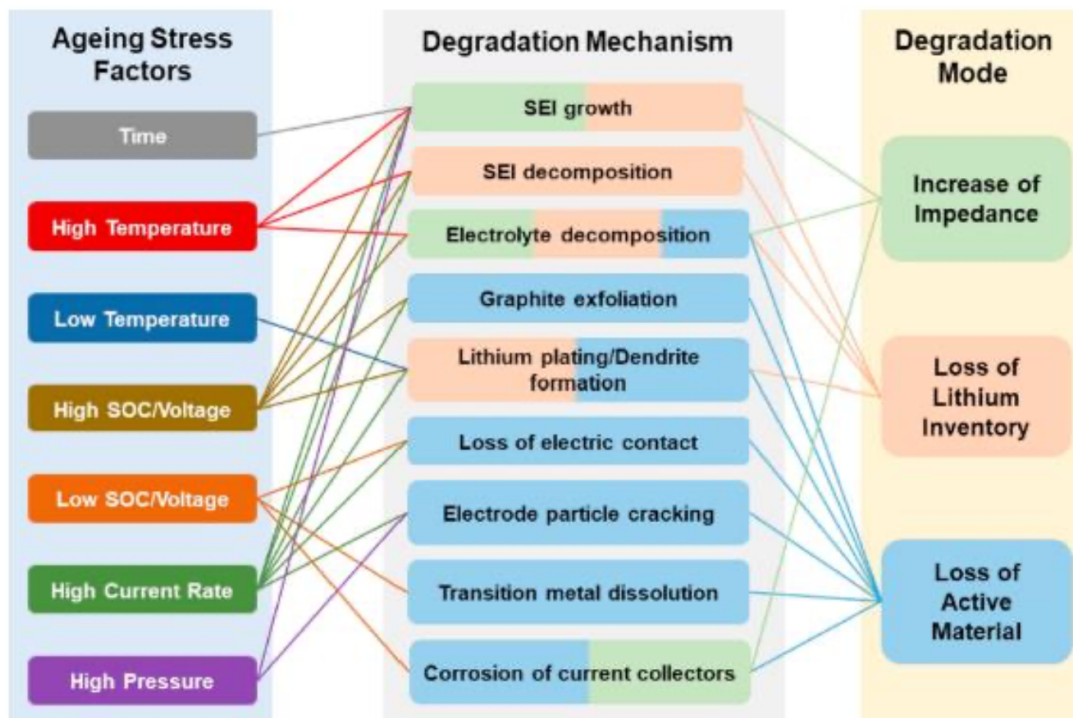
# Secondary Batteries

- What kills a battery?
- Control and monitoring of batteries
- What do we need to monitor?
- How can this be understood?

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## Lithium Ion Batteries – Aging Mechanisms 3 (3)



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## Li-Ion Battery Characteristics & Charging

- Charging Characteristics, Charging Efficiency
- Discharge Characteristics
- Internal Resistance
- Self-Discharge & Storage
- Cycle Life & Factors Affecting Cycle Life
- Effect of Temperature on Voltage, capacity, IR. Self-discharge. life
- State of Health

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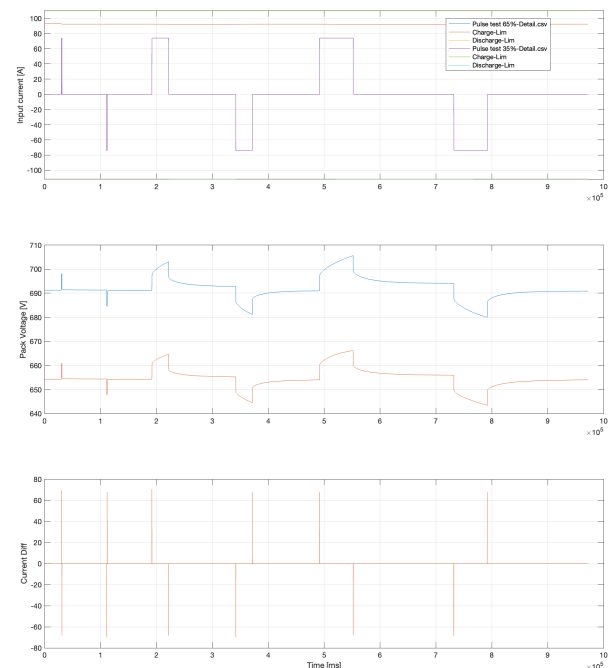
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## Measurements - Step Responses on a Battery Pack

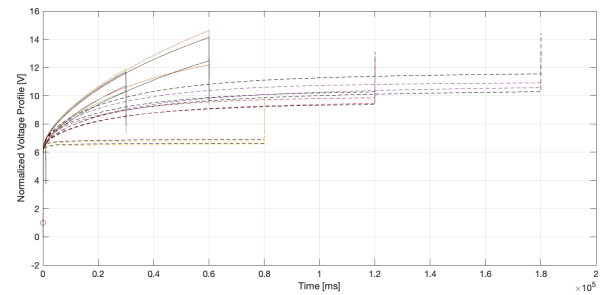
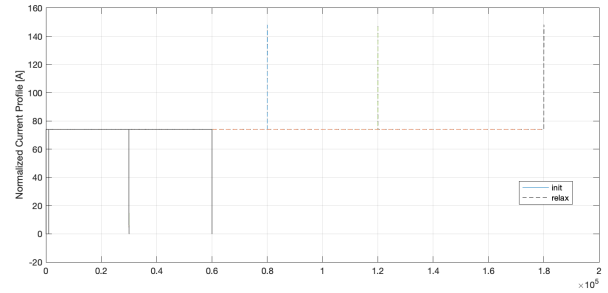
- Experiment: step in Current.
- Dynamic voltage response
- Not a pure resistance and integrator.
- Dynamic elements
- Time constants



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# Measurements - Step Responses

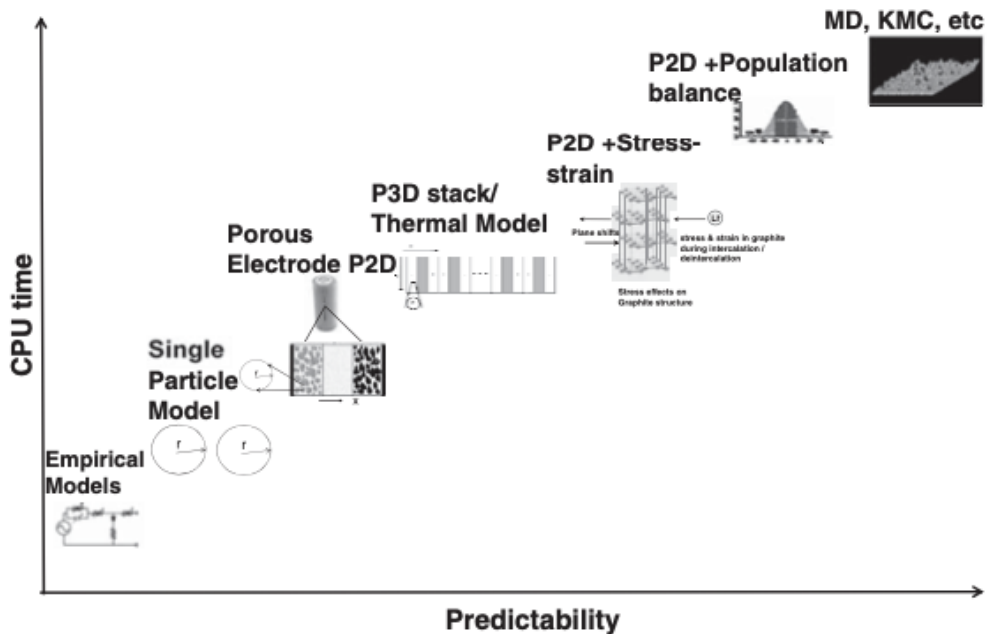
- Steps synchronized
- Steps enlarged



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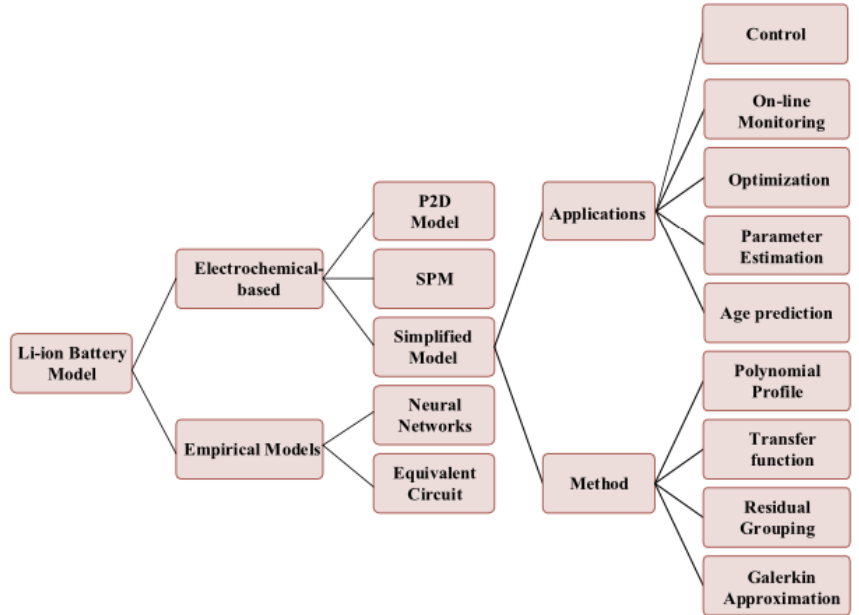
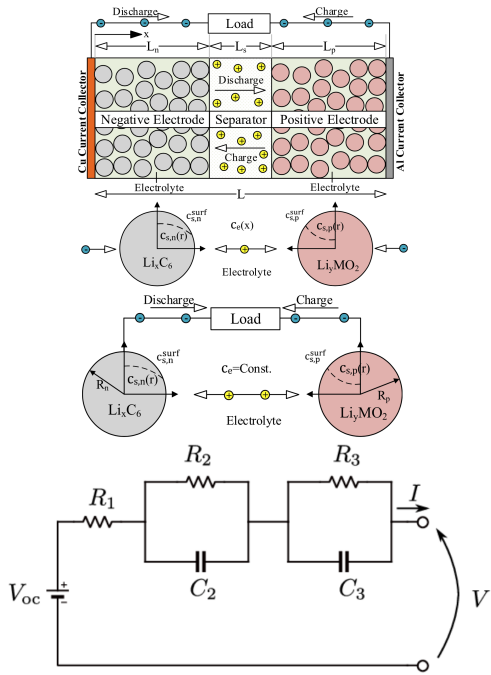
# Battery Cell Modeling

- Empirical Models  $\rightarrow$  Physical Models



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# Another Classification that Stays Close to Control and Monitoring

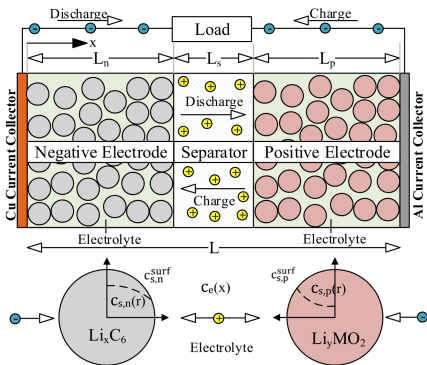


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# A Dive into the P2D (Doyle-Fuller-Newmann) Model

Describes the spatial state and evolution of:

- solid<sup>2</sup> electrolyte concentrations,
- Ion flows, and
- Potential.



$$\frac{\partial c_{s,k}(x,r,t)}{\partial t} = \frac{D_{s,k}}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial c_{s,k}(x,r,t)}{\partial r} \right)$$

$$e_k \frac{\partial c_{e,k}(x,t)}{\partial t} = \frac{\partial}{\partial x} \left( D_{eff,k} \frac{\partial c_{e,k}(x,t)}{\partial x} \right) + a_k (1 - t_+) J_k(x,t)$$

$$\sigma_{eff,k} \frac{\partial^2 \Phi_{s,k}(x,t)}{\partial x^2} = a_k F J_k(x,t)$$

$$-\sigma_{eff,k} \frac{\partial \Phi_{s,k}(x,t)}{\partial x} - \kappa_{eff,k} \frac{\partial \Phi_{e,k}(x,t)}{\partial x} + \frac{2\kappa_{eff,k} RT}{F} (1 - t_+) \frac{\partial \ln c_{e,k}}{\partial x} = I$$

$$J_k(x,t) = K_k (c_{s,k}^{max} - c_{s,k}^{surf})^{0.5} (c_{s,k}^{surf})^{0.5} c_{e,k}^{0.5} \left[ \exp\left(\frac{0.5F\mu_{s,k}(x,t)}{RT}\right) - \exp\left(-\frac{0.5F\mu_{s,k}(x,t)}{RT}\right) \right]$$

$$\mu_{s,k}(x,t) = \Phi_{s,k}(x,t) - \Phi_{e,k}(x,t) - U_k; \quad V_{cell}(t) = \Phi_{s,k}(0,t) - \Phi_{s,k}(L,t)$$

$$e_k \frac{\partial c_{e,k}(x,t)}{\partial t} = \frac{\partial}{\partial x} \left( D_{eff,k} \frac{\partial c_{e,k}(x,t)}{\partial x} \right)$$

$$-\kappa_{eff,k} \frac{\partial \Phi_{e,k}(x,t)}{\partial x} + \frac{2\kappa_{eff,k} RT}{F} (1 - t_+) \frac{\partial \ln c_{e,k}}{\partial x} = I$$

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## Examples of model packages with P2D and SPM model.

PyBAMM – Open Source models  
COMSOL